

Wealth Management Firm Evaluation Checklist

Firm: _____

PRIORITY	CONSIDERATIONS	DETAILS
	Differentiated Investment Offering	
	Family Office Capabilities	
	Robust Alternatives Platform	
	Credit & Lending Options For HNW + UHNW	
	Planning Expertise	
	Thought Leadership	
PRIORITY	CONSIDERATIONS	DETAILS
	Joining Private Wealth Teams	
	Succession Planning	
PRIORITY	CONSIDERATIONS	DETAILS
	Business Development and Marketing Support	
	Technology	
	Lead Flow Referral Opportunities	

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PRIORITY	CONSIDERATIONS	DETAILS
	Transition Support	
	Deal Structure: Up-front Terms	
	Deal Structure: Payout Performance Hurdles	
PRIORITY	CONSIDERATIONS	DETAILS
	Benefits / Expenses / Employment Model (W2 / 1099)	

DECISION TIMEFRAME	
I want to start at a new firm by:	
I want to decide by:	
All offers considered by:	

OTHER DETAILS

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Instructions for Using the Wealth Management Firm Evaluation Worksheet

As a Private Wealth Financial Advisor, evaluating and selecting the right wealth management firms can be overwhelming due to the sheer number of options and unique strengths of each firm. To simplify this task and ensure you make well-informed decisions, we introduce our **Wealth Management Firm Evaluation Worksheet**.

Here's how to use the worksheet effectively:

1. **Prioritize What Matters Most:**

- Begin by listing your essential criteria and priorities for evaluating wealth management firms. Focus on what is most important to you and your clients.

2. **Define Your Timeframe:**

- Set a clear timeline for your decision-making process. This will help you stay organized and ensure that you make a timely decision without unnecessary delays.

3. **Evaluate Your Options:**

- Use the worksheet to systematically compare and analyze potential firms based on the criteria you've prioritized. This structured approach will help you assess each firm's strengths and weaknesses effectively.

Utilize the worksheet to:

- Initiate productive conversations with potential firms.
- Ensure a thorough evaluation by covering all critical factors in your analysis.

By using our worksheet, you'll streamline your evaluation process and identify the wealth management firm that best aligns with your goals and the needs of your clients.